

Financial Services Guide (FSG) PART 2
Adviser Profile 6 August 2019

EXECUTIVE RISK ADVICE | *DIRECTOR*

DANIEL POULTNEY

Authorised Representative No. 292989

of Executive Risk Advice Pty Ltd
Corporate Authorised Representative No. 401389

In a previous life, Daniel served 10 years in the Australian Army, including overseas deployments. He enjoys spending time with his wife Kristie and his kids and pursuing outdoor interests such as kiteboarding, boating, dirt bike riding, scuba diving and camping.

Roles and responsibilities

- Provision of advice relating to superannuation strategies, equity lending and retirement planning.
- Provides initial advice and ongoing strategic management of Investment Portfolios.
- Highly experienced in Personal and Business Protection Strategies, including appropriate Personal Insurance, Keyperson and Shareholder (Buy / Sell) Protection.

Qualifications

- Bachelor of Commerce (Financial Planning)
- Member of the Association of Financial Advisers
- Fellow Chartered Financial Practitioner (FChFP)

Professional experience

With over 15 years' experience Daniel is able to provide a high level of holistic financial advice. Daniel established his own financial advice business in 2011. Daniel is a Director and shareholder of Executive Risk Advice Pty Ltd. His is paid a salary, is entitled to distributions and he may be entitled to bonuses and / or other benefits.

Authority

Specialist Advice Services Pty Ltd holds an AFSL No. 283049 and is responsible for the advice provided. Daniel is authorised to advise and deal in the following financial products:

- Life Risk Insurance Products
- Investment Life Insurance Products
- Superannuation
- Investor Directed Portfolio Services (IDPS)
- Securities
- Standard Margin Lending Facilities
- Retirement Savings Accounts
- Deposit and Payment Products
- Government Debentures, stocks or bonds.



“
We provide tailored financial advice. Our key goal is to provide confidence, control and certainty of financial outcomes for our valued clients
”

CONTACT DANIEL



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Privacy Statement

Why we collect your personal information

We collect personal information, including sensitive information (e.g. health information), from you to provide you with services including financial advice.

We may also use your information to comply with legislative or regulatory requirements in any jurisdiction, prevent fraud, crime or other activity that may cause harm in relation to the particular products or services provided, and help us run our business.

If you do not provide all the information we request, we may no longer be able to provide a product or service, including financial advice, to you.

Collecting and disclosing your personal information

We may disclose your personal information to other members of our organisation, anyone we engage to do something on our behalf such as a service provider, and other organisations that assist us with our business. We may also disclose your personal information to third parties such as a complaints body to whom a complaint relating to a product or service is referred, any party acquiring an interest in our business and anyone acting on your behalf.

We may also collect from the parties listed above any personal information they may hold about you which relates to our provision of financial advice.

We may disclose your personal information to an entity which is located outside Australia. Details of the countries where the overseas recipients are likely to be located are in our privacy policy.

As a provider of financial services, we have obligations to disclose some personal information to government agencies and regulators in Australia, and in some cases offshore. We are not able to ensure that foreign government agencies or regulators will comply with Australian privacy laws, although they may have their own privacy laws. By using our products or services, you consent to these disclosures.

We are also required, pursuant to the Anti-Money Laundering and Counter-Terrorism Financing Act (AML/CTF) and its corresponding rules and regulations, to implement certain client identification processes. We may be required to obtain information about you at the time of providing financial services to you and from time to time in order to meet our legal obligations. We have certain

reporting obligations pursuant to the AML/CTF Act, and information obtained from or about you may be provided to external third parties and regulators in accordance with the requirements imposed on us.

Other important information

We are required or authorised to collect personal information from you by certain laws. Details of these laws are in our privacy policy.

Please contact us if you would like a copy.

Our Privacy Policy outlines:

- how you can access the personal information we hold about you and ask for it to be corrected;
- how you may complain about a breach of the Privacy Act 1988 (Cth), or a registered privacy code and how we will deal with your complaint; and
- how we collect, hold, use and disclose your personal information in more detail.

We will update our privacy policy from time to time.

Where you have provided information about another individual, you must make them aware of that fact and the contents of this privacy statement.

We may use your personal information to contact you or send you information about other products and services offered by our preferred suppliers. If you do not wish to receive marketing communications from us please call us on 1300 615 232.

Responsibility

Specialist Advice Services Pty Ltd holds an Australian Financial Services Licence (No. 283049) and is responsible for the advice provided by its representatives.

This Adviser Profile has been authorised for distribution by Specialist Advice Services Pty Ltd and must be read in conjunction with the Financial Services Guide Part 1.